

RESEARCH SUPPLEMENTS TO PROMOTE REENTRY INTO BIOMEDICAL AND
BEHAVIORALRESEARCH CAREERS

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PURPOSE

The Office of Research on Women's Health (ORWH) and the Office of Extramural Research, National Institutes of Health (NIH) announce a program of a limited number of supplements to research grants to encourage fully trained women and men to reenter an active research career after taking time off to care for children or parents or to attend to other family responsibilities. The goal of this program is to assist fully trained individuals who have not reentered the research arena. In keeping with this goal, at the time of application a candidate may not be engaged in paid research for more than 10 hours per week. This program will provide administrative supplements to existing NIH research grants for the purpose of supporting full-time or part-time research tailored to update existing research skills and knowledge.

The NIH considers the appropriate and adequate representation of women and minorities in biomedical and behavioral research careers essential. The proportion of women Principal Investigators successfully competing for NIH funds has increased steadily since 1982. In FY 1991, women accounted for about 19 percent of the NIH applications and received about 18 percent of competing Research Project Grants awards. Similarly, the proportion of NIH predoctoral and postdoctoral research training positions filled by women has increased steadily with women occupying approximately 40 percent of the research training positions supported by the NIH (see the NIH Report on Women in NIH Extramural Grant Programs, FY 1982-91, Division

of Research Grants, 1992). However, the NIH recognizes that this situation can be improved. Thus, NIH encourages women and individuals from ethnic minority groups to enter research careers.

Some of the reasons for the low representation of women may be in part related to the fact that women bear a preponderance of the responsibilities surrounding child and family care. As such, this program is specifically designed to offer opportunities to individuals, especially women, who have interrupted their research careers to care for children or parents, to attend to other family responsibilities. The objective of the program is for those who receive support to reestablish careers in biomedical or behavioral research.

HEALTHY PEOPLE 2000

The Public Health Service (PHS) is committed to achieving the health promotion and disease prevention objectives of "Healthy People 2000," a PHS-led national activity for setting priority areas. This program announcement of Research Supplements to Promote Reentry into Biomedical and Behavioral Research Careers is related to the priority area of women's health. Potential applicants may obtain a copy of "Healthy People 2000" (Full Report: Stock No. 017-001-00474-0) or "Healthy people 2000" (Summary Report: Stock No. 017-001-00473-1) through the Superintendent of Documents, Government Printing Office, Washington, DC 20402-9325 (telephone 202-783-3238).

ELIGIBILITY REQUIREMENTS

Grants and Cooperative Agreements: Only the following active NIH awards at domestic institutions are eligible for Research Supplements to Promote Reentry into Biomedical and Behavioral Research Careers: R01, R10, R24, R35, R37, P01, P40, P41, P50, P51, P60, U01, U10. Principal Investigators on such awards are invited to submit a request for an administrative supplement to the awarding component of the parent grant to support an eligible candidate interested in reestablishing a research career. The parent grant must have at least two years of support remaining on September 1, 1993. The rationale underlying this policy is to provide the candidate an opportunity to accommodate her or his research during the duration of the supplement. Usually, a parent grant would support only one administrative supplement including Research Supplements for Underrepresented Minorities, Research Supplements to Promote the Recruitment of Individuals with Disabilities into Biomedical Research Careers, and the Research Supplement to Promote Reentry into Biomedical and Behavioral Research Careers. Grants most likely to support more than a single administrative supplement are multicomponent awards.

Candidates: This program is to support individuals who have interrupted career development to care for children or parents, or to attend to other family responsibilities. Family responsibilities may include relocation to accommodate a family member, or retirement of the candidate's educational debt. This supplement is not intended to support graduate or postdoctoral training and is not intended to cover career changes from non-research to research careers. Candidates who have begun the reentry process through a fellowship or similar mechanism are not eligible for this program. The candidate must have a doctoral degree, such as M.D., D.D.S., Ph.D., D.V.M. or equivalent and must demonstrate evidence of excellence in research prior to the career hiatus. The duration of the hiatus in the research career may not be fewer than two or more than eight years in duration. At the time of application a candidate may not be engaged in paid research for more than 10 hours per week. The candidate must have had sufficient prior research experience to qualify for a faculty appointment at the assistant professor or equivalent level. Candidates must be citizens or noncitizen nationals or have been lawfully admitted for permanent residence (i.e., in possession of an Alien Registration Receipt Card).

MECHANISM OF SUPPORT

In all cases, the proposed research must be directly related to the approved ongoing research of the parent grant or cooperative agreement. The individual supported under this supplemental award, hereafter called the candidate, must be afforded the opportunity to act as a full participant in the research project and must be given an opportunity to update and enhance her or his research capabilities. This will allow the candidate to establish a career as an independent, competitive research investigator. Supplemental awards will be consistent with the goals of strengthening the existing research program and with the overall programmatic balance and priorities of the funding component of the NIH. Awards will be made according to the policies and provisions stated in this announcement and in the PHS Grants Policy Statement.

Administrative supplements (S01) provided under this program may be for either part-time or full-time support for the candidate and all supported time is to be spent updating and enhancing research skills. Proposed part-time appointments may not be less than 20 hours per week.

Supplemental awards may be made for up to two years and may not exceed \$50,000 in direct costs per year. A maximum of \$40,000 may be requested for the salary and fringe benefits for the candidate. The amount of salary requested must be consistent with the policies of the grantee institution for individuals occupying similar positions and must be related to the percent effort requested for the supplement. An additional amount up to \$10,000 may be requested for supplies, travel and publication costs. Equipment may not be purchased as a part of this

supplement without the prior approval of the NIH awarding component. These awards are not renewable.

FUNDS AVAILABLE

The ORWH estimates that approximately \$1,000,000 will be available for up to 10 supplemental awards in FY 1993.

APPLICATION PROCEDURES

A request for this supplement must be submitted by June 4, 1993, by the grantee institution, on behalf of the Principal Investigator of the parent grant and in cooperation with the candidate to:

Office of Research on Women's Health
Westwood Building, Room 854
Bethesda, MD 20892**

Because of the expedited review process, the original and five copies of the complete application are required. Please note that the initial step in the administrative review process will be a determination of eligibility. It is incumbent upon the applicant to submit a complete and accurate application, because there will not be an opportunity to make additions or corrections.

The request for a supplemental award must include the following:

1. A completed face page (with appropriate signatures) from Grant Application Form PHS 398 (rev. 9/91). Include the title and grant number of the parent grant and "Reentry Supplement" on line 1;
2. A brief, three to four page description, prepared by the Principal Investigator of the parent grant that includes:
 - a. A summary or abstract of the funded grant or project,
 - b. A description of the research proposed for the candidate,
 - c. How the supplement will expand and foster the independent research capabilities of the candidate,
 - d. How the proposed research relates to the specific research goals and objectives of the parent grant; and

e. A description of the scope and nature of the mentoring relationship between the Principal Investigator and the candidate.

3. A brief description, prepared by the candidate that includes:

- a. research objectives and career goals;
- b. length of and reason for career hiatus;
- c. description of how the candidate has kept current in her/his field; and
- d. identification of steps taken toward reentry, (if any).

4. A biographical sketch of the candidate that includes:

- a. curriculum vitae;
- b. social security number;
- c. citizenship status;
- d. publications; and
- e. other evidence of scientific achievement.

5. A proposed budget entered on budget pages from the Grant Application Form PHS 398, related to the percent effort for the research proposed for the candidate during the first and future budget period(s). The amount requested for the supplement must coincide with the current period of support. Thus, if the initial budget period requested is less than 12 months, the budget must be prorated accordingly;

6. Documentation, if applicable, that the proposed research is approved by the Institutional Animal Care and Use Committee (IACUC) or human subjects Institutional Review Board (IRB) of the grantee institution;

7. Under unusual circumstances where the applicant and mentor would be at a site other than the grantee institution, an appropriately signed letter from the institution where the research is to be conducted must also be submitted.

The request must be signed by the Principal Investigator, the candidate, and the appropriate institution business official.

REVIEW CONSIDERATIONS

An NIH review committee convened by the ORWH will review applications for eligibility according to the guidelines described in this announcement. Eligible applications will be further reviewed according to the following criteria:

- o qualifications of the candidate including career goals,
- o evidence of excellence of prior research training and experience,
- o evidence that the proposed research will achieve the stated objectives of the reentry supplements;
- o the plan for the proposed research in the supplemental request and its relationship to the parent grant;
- o evidence from the Principal Investigator of appropriate guidance that will enhance the research, knowledge, and/or skills of the candidate;
- o evidence from the Principal Investigator that the activities of the candidate will be directly related to the approved scope of the parent grant; and
- o evidence of effort by the candidate to initiate the reentry process, such as attending scientific meetings, keeping current with journals, etc.

Funding decisions will be made by the ORWH based upon the recommendations provided by an NIH-wide review committee. These decisions will be communicated to the candidate's Principal Investigator by the Director, ORWH. Since these applications will undergo administrative review, summary statements will not be produced. This is consistent with NIH practice for other similar programs, such as those referenced in the ELIGIBILITY REQUIREMENTS section of this announcement.

AWARD CRITERIA

The decision to fund a supplement will take up to twelve weeks from the June 4, 1993, application receipt date. A September 1, 1993, award date is anticipated but other award dates will be

considered. Second year funding for the supplement is contingent on funding of the parent grant and cannot extend beyond the current competitive segment of the parent grant.

Reporting Requirements

For non-competing continuation applications, the progress report for the supplement must be clearly delineated as a separate section in the progress report for the parent grant. The progress report must include information about the research accomplishments of the individual supported by the supplement, including research progress, scientific meetings attended, manuscripts in preparation or submitted or accepted for publication, other professional activities within the institution in which the recipient is involved, applications for research support that have been submitted or other evidence of successful reentry.

INQUIRIES

For general information about the reentry supplements, candidates and Principal Investigators should contact the program official of the appropriate awarding Institute or Center. Candidates who have not yet made contact with a Principal Investigator are encouraged to contact the program official whose institute or center is specific to the research interest. To discuss business aspects of the parent grant or the supplement, Principal Investigators should contact their grants management official. Program officials and grants management contacts and the respective awarding institutes or centers are listed below.

National Cancer Institute

Mrs. Toby Friedbert, Program Official or Leo Buscher, Grants Management
Official

Executive Plaza North, Room 636

6130 Executive Boulevard

Bethesda, MD 20892

Telephone: (301) 496-3428 or (301) 496-7753

FAX: (301) 402-0275

National Eye Institute

Ralph J. Helmsen, Ph.D., Program Official or Carolyn Grimes, Grants
Management Official

Building 31, Room 6A49

Bethesda, MD 20892

Telephone: (301) 496-5983 or (301) 496-5884

FAX: (301) 402-0528

National Heart, Lung, and Blood Institute

Ronald Geller, Ph.D., Program Official or Tom Turley, Grants Management
Official

Westwood Building, Room 7A17

Bethesda, MD 20892

Telephone: (301) 594-7454 or (301) 594-7434

FAX: (301) 594-7424

National Institute on Aging

Phyllis Eveleth, Ph.D., Program Official or Joseph Ellis, Grants
Management Official

7201 Wisconsin Avenue, Room 218

Bethesda, MD 20892

Telephone: (301) 496-9322 or (301) 496-1472

FAX: (301) 402-2945

National Institute of Alcohol Abuse and Alcoholism

Helen Chao, Ph.D., Program Official or Joe Weeda, Grants Management
Official

5600 Fishers Lane, Room 16C-06

Rockville, MD 20857

Telephone: (301) 443-2530 or (301) 443-4703

FAX: (301) 227-8673

National Institute of Allergy and Infectious Disease

Milton Hernandez, Ph.D., Program Official or Gary Thompson, Grants
Management Official

Solar Building, Room 4C10

Bethesda, MD 20892

Telephone: (301) 496-7291 or (301) 496-7231

FAX: (301) 402-0369

National Institute of Arthritis and Musculoskeletal and Skin Disease

Julia Freeman, Ph.D., Program Official or Diane Watson, Grants

Management Official
5333 Westbard Avenue
Westwood Building, Room 403
Bethesda, MD 20892
Telephone: (301) 594-9961 or (301) 594-9965
FAX: (301) 480-7881

National Institute of Child Health and Human Development
Ms. Hildegard Topper, Program Official or Donald Clark, Grants Management Official
Building 31, Room 2A03
Bethesda, MD 20892
Telephone: (301) 496-0104 or (301) 496-5001
FAX: (301) 402-1104

National Institute of Dental Research
Patricia S. Bryant, Ph.D., Program Official or Theresa Ringler, Grants
Management Official
5333 Westbard Avenue
Westwood Building, Room 509
Bethesda, MD 20892
Telephone: (301) 594-7648 or (301) 594-7629
FAX: (301) 402-4620

National Institute of Diabetes and Digestive and Kidney Diseases
Walter S. Stolz, Ph.D., Program Official or John Garthune, Grants
Management Official
Westwood Building, Room 657
Bethesda, MD 20892
Telephone: (301) 594-7527 or (301) 594-7543
FAX: (301) 594-7594

National Institute on Drug Abuse
Eleanor C. Friedenberg, R.N., Program Official or Shirley Denney,
Grants Management Official
5600 Fishers Lane, Room 10-42
Rockville, MD 20857
Telephone: (301) 443-2755 or (301) 443-6710

FAX: (301) 443-0538

National Institute of Environmental Health Sciences

Anne P. Sassaman, Ph.D., Program Official or Dave Mineo, Grants

Management Official

P.O. Box 12233

Research Triangle Park, NC 27709

Telephone: (919) 541-7723 or (919) 541-7628

FAX: (919) 541-2843

National Institute of General Medical Sciences

Anthony Rene, Ph.D., Program Official or Carol Tippery, Grants

Management Official

Westwood Building, Room 925

5333 Westbard Avenue

Bethesda, MD 20892

Telephone: (301) 594-7706 or (301) 594-7813

FAX: (301) 594-7701

National Institute of Mental Health

Delores L. Parron, Ph.D., Program Official or Bruce Ringler, Grants

Management Official

Parklawn Building, Room 17C-16

5600 Fishers Lane

Rockville, MD 20857

Telephone: (301) 443-2847 or (301) 443-3065

FAX: (301) 443-2578

National Institute of Neurological Disorders and Stroke

Mr. Edward Donohue, Program Official or Mary Whitehead, Grants

Management Official

Federal Building, Room 1016

7550 Wisconsin Avenue

Bethesda, MD 20892

Telephone: (301) 496-4188 or (301) 496-9231

FAX: (301) 402-4370

National Institute on Deafness and Other Communication Disorders

Amy M. Donahue, Ph.D., Program Official or Sharon Hunt, Grants
Management Official

Executive Plaza South, Room 400B

6120 Executive Boulevard

Rockville, MD 20892

Telephone: (301) 402-3458 or (301) 402-0909

FAX: (301) 402-6251

National Center for Human Genome Research

Bettie Graham, Ph.D., Program Official or Jean Cahill, Grants
Management Official

Building 38A, Room 613

Bethesda, MD 20892

Telephone: (301) 496-7531 or (301) 402-0733

FAX: (301) 480-2770

National Center for Nursing Research

Sharlene M. Weiss, Ph.D., R.N., Program Official or Sally Nichols,
Grants Management Official

Westwood Building, Room 757

Bethesda, MD 20892

Telephone: (301) 594-9612 or (301) 594-9615

FAX: (301) 402-2402

National Center for Research Resources

Louise E. Ramm, Ph.D., Program Official or Lacey Durham, Grants
Management Official

Westwood Building, Room 854

Bethesda, MD 20892

Telephone: (301) 594-7906 or (301) 594-7955

FAX: (301) 594-9161

John E. Fogarty International Center

David Wolff, Ph.D., Program Official or Silvia Mandes, Grants
Management Official

Building 31, Room B2C39

Bethesda, MD 20892

Telephone: (301) 496-1653 or (301) 496-1653

FAX: (301) 402-0779

AUTHORITY AND REGULATIONS

Supplemental awards will be made under authorities applicable to the parent grant and administered under PHS grants policies and Federal Regulations 42 CFR 52 and 45 CFR Parts 74 and 92. This program is not subject to the intergovernmental review requirements of Executive Order 12372 or Health Systems Agency review.

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