

REVISED RUTH L. KIRSCHSTEIN INDIVIDUAL NATIONAL RESEARCH SERVICE AWARD
APPLICATION (PHS 416-1) AND PROGRESS REPORT FOR CONTINUATION SUPPORT
(PHS 416-9) NOW AVAILABLE

RELEASE DATE: October 30, 2002

NOTICE: NOT-OD-03-007

National Institutes of Health (NIH)

EFFECTIVE DATE: The revised Application for a Ruth L. Kirschstein Individual National Research Service Award (PHS 416-1) and Progress Report for Continuation Support (PHS 416-9) are now available and may be used by applicants. For the November 15 and December 5, 2002 application receipt dates, both the previous versions (Rev. 12/98) and the new versions (Rev. 6/02) will be accepted. Individuals who have initiated their applications using the 12/98 forms are encouraged to continue with this form rather than moving to the new version. For the April 2003 application receipt date and beyond, use of the new version (Rev. 6/02) is required.

As in the past, applicants should read the instructions carefully. The following is a list of notable changes:

PHS 416-1:

- o The name has been changed to the Ruth L. Kirschstein Individual National Research Service Award.
- o The PHS 416-1 will only be available electronically.
<http://grants.nih.gov/grants/funding/416/phs416.htm>
- o Forms have been modified to enable the fields to be filled in directly using the Adobe Acrobat Reader Software.
- o Human Subjects Research: Specific instructions are provided for the use of human subjects in research, including new format pages for Women and Minority Inclusion enrollment.
- o Vertebrate Animals: Institutional Animal Care and Use Committee (IACUC) approval of proposed research involving vertebrate animals is no longer required before an application will be

accepted for peer review. As part of the peer review process, the peer review group will continue to address the adequacy of animal usage and protections in applications; however, IACUC approval is required only on a "just-in-time" basis before an award can be made. See the August 8, 2003 NIH Guide Notice (OD-02-064) for more details on this policy change. It can be found at: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-02-064.html>.

o Face Page: Form Page 1:

Human Subjects Research box (Item 9) has been modified as follows:

9a: No/Yes—check applicable box for "Research Exempt."

9b: If 9a is checked "No," provide Human Subjects Assurance Number.

9c: check applicable box for NIH –Defined Phase III Clinical Trial.

Vertebrate Animals box (Item 10) has been modified as follows:

10: No/Yes—check applicable box and, if "yes" is checked, provide Animal Welfare Assurance No.

o Personal Data Page:

The Personal Data on Individual NRSA Fellowship Applicant Page has been modified to reflect updated Race and Ethnicity Categories.

o New Format Pages

Targeted/Planned Enrollment Table and Inclusion Enrollment Report

PHS 416-9:

o The PHS 416-9 is only available electronically.

<http://grants.nih.gov/grants/funding/416-9/phs416-9.htm>

o Human Subjects Research: Specific instructions are provided for the use of human subjects in research, including new format pages for Women and Minority Inclusion enrollment.

o New Forms

Targeted/Planned Enrollment Table and Inclusion Enrollment Report

The revised instructions and forms are available at <http://grants.nih.gov/grants/forms.htm#416>. The forms available on the website have been modified to enable the fields to be filled in directly using Adobe Acrobat Reader Software. Free Adobe Software may be accessed at <http://www.adobe.com/products/acrobat/readstep.html>. If you have any questions about using the new forms and the Adobe Acrobat Reader Software process, see "INSTRUCTIONS FOR DOWNLOADING DOCUMENTS AND ELECTRONIC FORMS" at <http://grants.nih.gov/grants/edocs.htm>.

The forms will also be available in Rich Text Format (RTF), a standardized way to encode various text formatting properties, such as bold characters and typefaces, as well as document formatting and structures. RTF is supported across a number of software and hardware platforms. RTF files can be opened in many word processors and other RTF-aware software packages with much of the formatting left intact. In addition, many word processing programs will also allow users to save a document in RTF when you select "Save As..."

NOTE: Other software packages for completing these applications may be available from other sources; however, it is essential that the type size and format specifications are met or the application will be returned without review.

DISCLAIMER: Reference to the above mentioned software package neither constitutes nor should be inferred to be an endorsement or recommendation of any product, service, or enterprise by the National Institutes of Health, or any other agency of the United States Government, or any employee of the United States Government. No warranties are stated or implied.

Direct inquiries to: GrantsInfo@NIH.GOV or 301-435-0714

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